# NOTICE OF AGENCY EMERGENCY RULE-MAKING

#### AGENCY: Department of Marine Resources

## CHAPTER NUMBER AND TITLE: Chapter 36 Atlantic Herring; 2019 Harvest Rules

#### ADOPTED RULE NUMBER: (LEAVE BLANK - ASSIGNED BY SECRETARY OF STATE)

#### **CONCISE SUMMARY:**

This rulemaking amends the existing seasonal spawning closures in accordance to the recently approved Addendum II of Amendment 3 to the Interstate Fishery Management Plan for Atlantic herring: the initial closure period is extended from 28 days to 42 days; the default closure date for both the Western Closure and the Massachusetts/New Hampshire Closure has been modified from October 4 to September 23; and, the re-closure protocol may be initiated with a lower percentage of spawning fish present in the population, from 25% to 20%.

This rulemaking amends the start date for the mobile Atlantic herring fishery to commence on Sunday, July 14, 2019 and sets harvest parameters for the Atlantic Herring fishery as determined at the Days Out meeting held April 3, 2019. The Days Out Commissioners designated zero landing days for Period 1 (June). The Days Out Commissioners designated four consecutive landing days, beginning at 6:00 p.m. Sunday to 6:00 p.m. Thursday for vessels issued an Atlantic Herring Limited Access Category A Permit for Period 2 (July – August). These vessels are limited to landing 160,000 lbs (4 trucks) weekly). The Days Out Commissioners designated five consecutive landing days, beginning at 6:00 p.m. Sunday to 6:00 p.m. Friday for vessels issued an Atlantic Herring Limited Access Category C Permit. Limited access harvester vessels may transfer Atlantic herring at-sea to other limited access harvester vessels. All harvester vessels are prohibited from at-sea transfers of Atlantic herring to carrier vessels. All vessels landing herring caught in Management Area 1A in any Maine port are limited to one landing per 24 hour period (6:00 p.m. to 6:00 p.m.). The Commissioner has determined that it is necessary to take emergency action to implement these limitations to prevent the depletion of the supply of Atlantic herring and to comply with the changes to the interstate management of the Atlantic herring resource. The Commissioner hereby adopts this emergency regulation as authorized by 12 M.R.S. §6171(3)(C).

#### EFFECTIVE DATE:

June 1, 2019

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## DEPARTMENT OF MARINE RESOURCES

- Chapter 36 Atlantic Herring
- 36.01 Herring Management Plan
  - D. Catch restrictions.

License holders shall be informed by public notice in a newspaper circulated in the area affected of any restrictions herein.

(1) Spawning herring protection.

It shall be unlawful to fish for, take, possess, transfer or land in any State of Maine port or facility, or to transfer at sea from any Maine registered vessel, any catch of herring harvested from the following described areas within Management Area 1A during a spawning area closure:

(a) Spawning Area Boundaries:

Eastern Maine Spawning Area means:

All waters bounded by the following coordinates:Maine coast68° 20.0' W,43° 48.0' N68° 20.0' W,44° 25.0' N67° 03.0' W,North along the U.S./Canada border.

Western Maine Spawning Area means:

All waters bounded by the following coordinates:43° 30.0' NMaine coast,43° 30.0' N68° 54.5' W,43° 48.0' N68° 20.0' W,North to Maine coast at 68° 20.0' W.

Massachusetts/New Hampshire Spawning Area means:

All waters bounded by the Massachusetts, New Hampshire and Maine coasts, and 43° 30.0' N  $\,$  70° 00.0' W.

- (b) Closure Timing. To protect spawning sea herring, the commissioner shall close the Eastern Maine, Western Maine, or Massachusetts/New Hampshire Spawning Areas to directed Atlantic herring fishing effort when it is determined that sea Atlantic herring are in later stages of maturity just prior to spawning. A closure date will be projected based on a formula and process set forth in the Atlantic States Marine Fisheries Commission's Amendment 3 (Section 4.2.6) Interstate Fishery Management Plan for Atlantic Herring.
  - i. Closure Duration. Once the closure is enacted, it shall remain in effect for <del>28</del> <u>42</u> days.
  - ii. Closure Extension. Sampling of Atlantic herring taken from Eastern Maine, Western Maine, or Massachusetts/New Hampshire Spawning Areas shall begin during the last week of the initial closure period or at the end of the initial closure period. If one sample taken from the Eastern Maine or Western Maine Spawning Areas is comprised of 25% 20% or more spawn herring then the closure may be extended for an additional 14 days.
  - iii. Closure Prohibitions. During the Eastern Maine, Western Maine, or

Massachusetts/New Hampshire Spawning Area Closure or an extension thereof, it shall be unlawful for any vessel to possess or land more than 2,000 pounds of sea herring caught within the Eastern Maine, Western Maine, or Massachusetts/New Hampshire Spawning Area.

- iv. Closure Procedure. The initial closure date shall be announced 5-days prior to the closure being enacted and any closure extension shall be announced immediately to be enacted the day following the announcement.
- v. Default Closure Dates: If sufficient samples are not available, spawning area closures will begin on area specific dates as follows: Eastern Maine (August 28), Western Maine (October 4 September 23), Massachusetts/New Hampshire (October 4 September 23)

## (c) Exemptions

- i. Transport. Any herring vessel having onboard herring, which were caught outside of a management area that is under a herring spawning closure, may transit the closed or restricted area and land at a Maine port, only if all of its fishing gear has been stowed.
- ii. Fixed gear. The fixed gear (stop seines and weirs) fishery east of Cutler is exempt from spawning area restrictions.

## (2) Catch quota

Fishing for herring in Management Areas 1A, 1B, 2 and 3 is subject to the sub-annual catch limit (ACL) and fishing effort restrictions specified by the ASMFC Atlantic Herring Section and the NEFMC in accordance with their respective Fisheries Management Plans (FMP). The ACL is established annually by July 1 for the following year by ASMFC and NEFMC. Any reduction in the harvest will be determined in consultation with the ASMFC and NEFMC. No person shall land herring taken from a management area when 92% of the area's seasonal or sub- annual catch limit will be exceeded or if 95% of the coastwide annual catch limit will be exceeded.

(a) Exception. Up to 39 mt of the Area 1A sub-ACL is allocated for the fixed gear fisheries in Area 1A (weirs and stop seines). This set-aside is available for harvest by fixed gear within the fishing year until the fixed gear set aside is fully utilized. No person shall land herring taken from Area 1A with fixed gear when 92% of the fixed gear set-aside portion of the Management Area 1A sub-ACL will be exceeded.

#### (3) Effort Restrictions

(a) Management Area 1A, January 1 – May 31

Atlantic herring may only be landed from Management Area 1A on days that have been designated landing days by the Atlantic States Marine Fisheries Commission (ASMFC). ASMFC designates zero landing days between January 1 and May 31, annually.

(b) Management Area 1A, June 1 – December 31

For 2019, Area 1A sub-ACL will be monitored and managed in bimonthly periods as described in ASMFC's Atlantic Herring Fishery Management Plan. Atlantic herring may only be landed from Management Area 1A on days that have been designated landing days by the ASMFC. All vessels landing herring caught in Management Area 1A in any Maine port will be limited to fishing for and landing herring on days that have been designated landings days by the ASMFC.

All vessels landing herring caught in Management Area 1A in any Maine port are limited to one landing per 24 hour period (6 pm to 6 pm).

i. For the purpose of this subsection, the following terms shall be defined as follows:

"At-Sea Transfer": to transfer herring from a vessel to another vessel before the harvester vessel has landed.

"Harvester vessel": a purse seine vessel that is required to report the catch it has aboard (along with effort data under a gear code other than CAR) as the harvesting vessel on its Federal Vessel Trip Report.

"Carrier vessel": a vessel that has received herring from another vessel and is not required to report that catch as its own on its Federal Vessel Trip Report; and, not rigged as a purse seine vessel.

"Purse seine vessel": a vessel currently rigged and capable of harvesting fish with an encircling net with floats on the top edge, weights and a purse line on the bottom edge, and associated gear to set, purse and haul such a net, which may include, but not limited to, a hydraulically-driven block, roller gear, and/or skiff.

"Truck": a truck, as a unit of measurement, is equal to 40,000 lbs.

ii. Harvester vessels:

All vessels targeting Atlantic herring may commence harvest on Sunday, July 14, 2019 at 6:00 p.m. Harvester vessels shall not operate as a carrier vessel on the same trip when operating as a harvester vessel. All harvester vessels are prohibited from transferring Atlantic herring at-sea to a carrier vessel. All harvester vessels landing herring caught in waters within Management Area 1A in any Maine port are limited to making one landing per 24-hour period (6 pm to 6 pm).

(A) For all vessels issued a state Commercial Pelagic and Anadromous license that do not possess a Federal Limited Access Herring Permit:

All harvester vessels may possess and land Atlantic herring four days a week, beginning at 6:00 p.m. Sunday to 6:00 p.m. Thursday. No vessel may land more than 25,000 lbs per week. At-sea transfers to carrier vessels are limited to one carrier vessel only and no more than 25,000 lbs per week. All harvester vessels landing herring caught in territorial waters within Management Area 1A in any Maine port are limited to making one landing per 24-hour period (6 pm to 6 pm).

Exception: Fishing weirs, stop seines, and pound nets are not subject to the harvest schedule detailed above. Weekly landing limits apply.

(B) For all vessels issued a Federal Limited Access Atlantic Herring Permit Category A:

The permit holder must have declared into the Atlantic Herring fishery by April 15th, annually, to be eligible to harvest. Designated landing days for all vessels issued a Federal Limited Access Atlantic Herring Category A Permit for Management Area 1A, Sub-ACL Trimester 2 (June 1 – September 30) shall be five four consecutive days, beginning on 6:00 p.m. Sunday to 6:00 p.m. Friday Thursday and are limited to landing 680,000 lbs (17 trucks) 160,000 lbs (4 trucks) weekly; and, harvester vessels may transfer to only one carrier vessel per week up to

120,000 lbs. All harvester vessels landing herring caught in Management Area 1A in any Maine port are limited to making one landing per 24-hour period (6 pm to 6 pm).

(C) For all vessels issued a Federal Limited Access Atlantic Herring Permit Category C:

All harvester vessels may possess and land Atlantic herring seven days a week. Designated landing days for all vessels issued a Federal Limited Access Atlantic Herring Category C Permit shall be five consecutive days, beginning on 6:00 p.m. Sunday to 6:00 p.m. Friday. Daily trip limit shall not exceed 55,000 lbs per day. and at-sea transfers to carrier vessels are limited to one carrier vessel only and no more than 120,000 lbs per week. All harvester vessels landing herring caught in Management Area 1A in any Maine port are limited to making one landing per 24-hour period (6 pm to 6 pm).

(D) For all vessels issued a Federal Limited Access Atlantic Herring Permit Category D:

All harvester vessels may possess and land Atlantic herring seven days a week. Designated landing days for all vessels issued a Federal Limited Access Atlantic Herring Category D Permit shall be five consecutive days, beginning on 6:00 p.m. Sunday to 6:00 p.m. Friday. Daily trip limit shall not exceed 6,600 lbs per day. and all at-sea transfers to carrier vessels are limited to one carrier vessel only and no more than 46,200 lbs per week. All harvester vessels landing herring caught in waters within Management Area 1A in any Maine port are limited to making one landing per 24-hour period (6 pm to 6 pm).

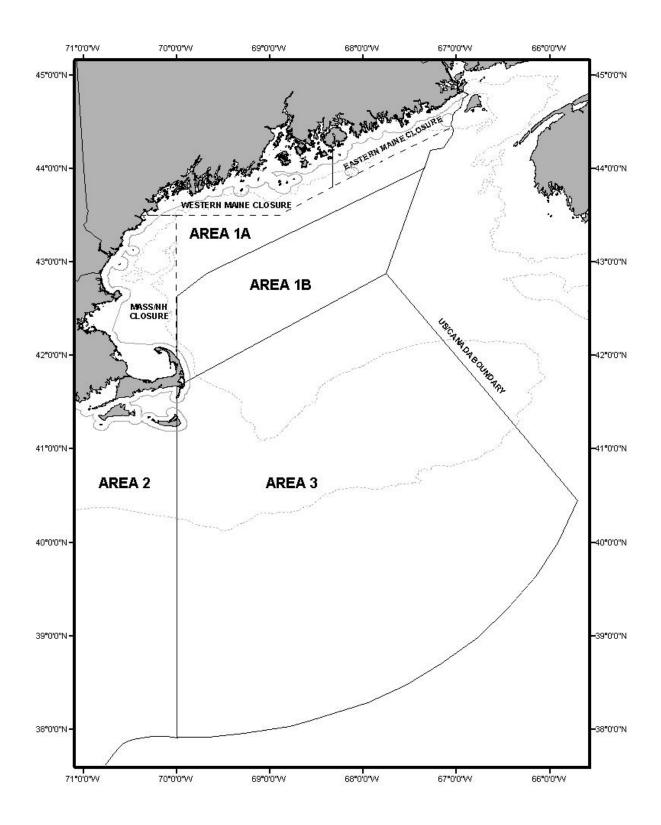
#### iii. Carrier Vessels:

All carrier vessels landing herring caught in Management Area 1A in any Maine port are limited to receiving at-sea transfers from one harvester vessel per week with a weekly landing limit of 120,000 lbs (3 trucks). All carrier vessels landing herring caught in Management Area 1A in any Maine port are limited to making one landing per 24 hour period (6 pm to 6 pm). Carrier vessels shall not operate as a harvester vessel on the same trip when operating as a carrier vessel.

All carrier vessels must send an email hail to DMR (herring.dmr@maine.gov) three hours prior to landing that includes the following information:

- Name of harvester
- Name/Vessel ID
- Permit ID
- Landings number of license holder
- Total amount of herring aboard
- Harvester vessel name from whom carrier received catch
- Date, time and location (dealer, city, state) of offload at port
- Estimated amount to be received by weight to each dealer (by dealer name and landings number)
- VTR number (and any VTR numbers for harvester vessels from whom carrier has received at-sea transfer)
- (c) Exceptions.

Any vessel may possess herring as an incidental catch and land herring caught in Management Area 1A, 1B, 2 or 3, in any Maine port, as long as such herring do not comprise more than 10% of the total weight of the catch not to exceed 2000 lbs per trip.



# **Basis Statement**

This rulemaking amends the existing seasonal spawning closures in accordance to the recently approved Addendum II of Amendment 3 to the Interstate Fishery Management Plan for Atlantic herring: the initial closure period is extended from 28 days to 42 days; the default closure date for both the Western Closure and the Massachusetts/New Hampshire Closure has been modified from October 4 to September 23; and, the re-closure protocol may be initiated with a lower percentage of spawning fish present in the population, from 25% to 20%.

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# **Rule-Making Fact Sheet**

(5 M.R.S., §8057-A)

AGENCY: 13-188- Department of Marine Resources

# NAME, ADDRESS, PHONE NUMBER OF AGENCY CONTACT PERSON:

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CHAPTER NUMBER AND RULE: Chapter 36 Herring; 2019 Harvest Rules

STATUTORY AUTHORITY: 12 M.R.S. § 6171

DATE AND PLACE OF PUBLIC HEARING(S): NA

COMMENT DEADLINE: NA

#### PRINCIPAL REASON(S) OR PURPOSE FOR PROPOSING THIS RULE: [see §8057-A(1)(A)&(C)]

This rule-making is intended to align State of Maine regulations with those set forth by the Atlantic States Marine Fisheries Commission. The harvest control rules were determined by the Commissioners at the Days Out meeting held April 3, 2019. Additionally, Addendum II to Amendment 3 of the Interstate Fisheries Management Plan for Atlantic herring amended the requirements surrounding the protection of spawning herring; this proposed rule reflects final action taken by the Atlantic Herring Board on April 30, 2019.

IS MATERIAL INCORPORATED BY REFERENCE IN THE RULE? \_\_\_YES\_\_X\_NO [§8056(1)(B)]

## ANALYSIS AND EXPECTED OPERATION OF THE RULE: [see §8057-A(1)(B)&(D)]

This proposed rule is intended to conserve and protect the Atlantic herring resource during a low spawning stock biomass period by expanding on the existing seasonal spawning closures. This proposed rule also sets a shortened harvest season to allow for a higher level of landing over a shorter period of time to balance bait need with economic viability.

BRIEF SUMMARY OF RELEVANT INFORMATION CONSIDERED DURING DEVELOPMENT OF THE RULE Final action of effort control rules discussed during the Days Out meeting on April 3, 2019 in addition to the final action resulting in a completed Addendum II to Amendment 3 of the ISFMP for Atlantic Herring on April 30, 2019.

#### ESTIMATED FISCAL IMPACT OF THE RULE: [see §8057-A(1)(C)]

Enforcement of these proposed amendments will not require additional activity in this Agency. Existing enforcement personnel will monitor compliance during their routine patrols.

# FOR EXISTING RULES WITH FISCAL IMPACT OF \$1 MILLION OR MORE, ALSO INCLUDE:

*ECONOMIC IMPACT, WHETHER OR NOT QUANTIFIABLE IN MONETARY TERMS:* [see §8057-A(2)(A)]

*INDIVIDUALS, MAJOR INTEREST GROUPS AND TYPES OF BUSINESSES AFFECTED AND HOW THEY WILL BE AFFECTED: [see §8057-A(2)(B)]* 

BENEFITS OF THE RULE: [see §8057-A(2)(C)]

Note: If necessary, additional pages may be used